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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Andrew C. Gilbert et al.
Application No. : 10/040,837 Confirmation No.: 2702
Filed : January 7, 2002
For : METHODS AND SYSTEMS FOR PROVIDING
CROSSING MARKETS
Group Art Unit : 2161

New York, New York
April 7, 2003

Commissioner for Patents
P.O. Box 2327
Arlington, Virginia 22202

~~TRANSMITTAL LETTER FOR
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT~~

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement in the above-identified application.

This Statement is submitted:

within three months of the application filing date;
 more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this

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Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



Hassan Albakri
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ARLINGTON, VA 22202 on

APR 16 7, 2003
Claire J. Saintil-van Goodman
Signature
Signature of Person Signing

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Arlington, Virginia 22202

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicant wishes to call the attention of the Examiner to the following references:

US PATENTS

Lupien et al. Patent No. 6,098,051 Aug. 01, 2000
Rickard et al. Patent No. 6,112,189 Aug. 29, 2000

Copies of the aforementioned references, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

Applicants request that a copy of the Form PTO-1449, as considered and initialized by the Examiner, be returned with the next communication.

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Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,



Hassan Albakri
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ARLINGTON, VA 22202 on
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~~Claimed Saint-Louis Goodman~~
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|---|---|--|---|
|  FORM PTO-1449 | U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. CF-51 | APPLICATION NO. 10/040,837 |
| | INFORMATION DISCLOSURE STATEMENT BY APPLICANTS | APPLICANT Andrew C. Gilbert et al. | CONFIRMATION NO. 2702 |
| | | FILING DATE January 7, 2002 | GROUP 2161 |

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----------------|----------|----------------|-------|----------|----------------------------|
| | 6,098,051 | 08/01/00 | Lupien et al. | 705 | 37 | |
| | 6,112,189 | 08/29/00 | Rickard et al. | 705 | 37 | |
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FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

APR 16 2003

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.



BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Hassan Albakri is hereby given limited recognition under 37 CFR § 10.9(b), as an employee of the law firm of Fish & Neave, to prepare and prosecute patent applications wherein the patent applicant is a client of the law firm of Fish & Neave, and a registered practitioner, who is a member of the law firm of Fish & Neave, is the practitioner of record in the applications. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Hassan Albakri ceases to lawfully reside in the United States, (ii) Hassan Albakri's employment with the law firm of Fish & Neave, ceases or is terminated, or (iii) Hassan Albakri ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such limited recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: December 6, 2003



Harry I. Moatz
Director of Enrollment and Discipline

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